



Patent Docket P17241P1

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Leonard Presta Serial No.: 09/713,425 Filed: November 15, 2000 For: POLYPEPTIDE VARIANTS WITH ALTERED EFFECTOR FUNCTION	Group Art Unit: 1653 Examiner: To Be Assigned
CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on March 13, 2001 <i>Anna Kan</i> Anna Kan	

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information (attached hereto and listed on the attached revised Form PTO-1449) of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56.

This Information Disclosure Statement is filed in accordance with the provisions of:

37 CFR §1.97(b)

- within three months of the filing date of the application other than a continued prosecution application under 37 CFR §1.53(d); or
- within three months of the date of entry of the national stage of a PCT application as set forth in 37 CFR§1.491, or
- before the mailing of the first Office action on the merits; or
- before the mailing of the first Office action after the filing of a request for a continued examination under 37 CFR §1.114.

37 CFR §1.97(c)

- by the applicant after the period specified in 37 CFR §1.97(b), but prior to the mailing date of any of a final action under 37 CFR §1.113, or a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application, and is accompanied by either the fee set forth in 37 CFR §1.17(p) or a statement as specified in 37 CFR §1.97(e), as checked below.

37 CFR §1.97(d)

- after the period specified in CFR §1.97(c), and is accompanied by the fee set forth in 37

CFR §1.17(p) **and** a statement as specified in 37 CFR §1.97(e), as checked below.

[If either of boxes 37 CFR §1.97(c) or 37 CFR §1.97(d) is checked above, the following statement under 37 CFR §1.97(e) may need to be completed.]

- 37 CFR §1.97(e)** Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- 37 CFR §1.704(d)** Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and the communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of this information disclosure statement. Therefore, in accordance with the provisions of 37 CFR §1.704(d), the filing of this information disclosure statement will not be considered a failure to engage in reasonable efforts to conclude prosecution under 37 CFR §1.704.
- The U.S. Patent and Trademark Office is hereby authorized to charge Deposit Account No. 07-0630 in the amount of \$180.00 to cover the cost of this Information Disclosure Statement under 37 CFR §1.17(p). Any deficiency or overpayment should be charged or credited to this deposit account.

A list of the patent(s) or publication(s) is set forth on the attached revised Form PTO-1449 (Modified).

A copy of the items on PTO-1449 is supplied herewith.

Those patent(s) or publication(s) which are marked with an asterisk (*) are not supplied.

BLAST results enclosed:

The undersigned also wishes to bring to the attention of the Examiner BLAST results of computerized alignments of the against sequences contained in the nucleotide and protein databases. The BLAST results are provided in paper form and are identified as reference "BLAST Results A-1- A-()" (nucleotide) and "BLAST Results B-1 - B-()" (protein) on the PTO Form 1449. Applicant requests that these references also be considered and that the Form 1449 be initialed to indicate the Examiner's consideration of the references.

A concise explanation of relevance of the items listed on PTO-1449 is:

not given

given for each listed item

given for only non-English language listed item(s) [Required]

- [] in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references.

In accordance with 37 CFR §1.97(g), the filing of this information disclosure statement shall not be construed as a representation that a search has been made.

In accordance with 37 CFR §1.97(h), the filing of this information disclosure statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b).

In the event that the Office determines a fee to be due where none is specifically authorized in this paper, the U.S. Patent and Trademark Office is hereby authorized to charge Deposit Account No. 07-0630 in the amount of \$180.00 to cover the cost of this Information Disclosure Statement under 37 CFR §1.17(p).

Respectfully submitted,
GENENTECH, INC.

Date: March 12, 2001

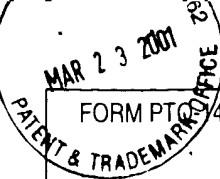
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PATENT TRADEMARK OFFICE



FORM PTO-449

U.S. Dept. of Commerce
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Atty Docket No.

P1726R1P1

Serial No.

09/713,425

LIST OF DISCLOSURES CITED BY APPLICANT

(Use several sheets if necessary)

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Examiner Initials		Document Number	Date	Name	Class	Subclass	Filing Date
	1	4,752,601	21.06.88	Hahn			
	2	5,348,876	20.09.94	Michaelsen et al.			
	3	5,624,821	29.04.97	Winter et al.			
	4	5,648,260	15.07.97	Winter et al.			
	5	5,698,449	15.12.97	Baumann et al.			
	6	5,736,137	07.04.98	Anderson et al.			
	7	5,985,599	16.11.99	McKenzie et al.			
	8	6,194,551 B1	27.02.01	Idusogie et al.			

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	9	WO 00/09560	24.02.00	PCT				
	10	WO 88/07089	22.09.88	PCT				
	11	WO 94/29351	22.12.94	PCT				
	12	WO 97/28267	07.08.97	PCT				
	13	WO 97/44362	27.11.97	PCT				
	14	WO 98/23289	04.06.98	PCT				
	15	WO 98/52975	26.11.98	PCT				
	16	WO 99/43713	02.09.99	PCT				
	17	WO 99/51642	14.09.99	PCT				
	18	WO 99/58572	18.11.99	PCT				

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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

MAR 23 2001

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P1726R1P1Serial No.
09/713,425

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Presta, L.Filing Date
15 Nov 2000Group
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LIST OF DISCLOSURES DESIGNATED BY APPLICANT

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Serial No.

09/774,425

Applicant

Presta, L.

Filing Date

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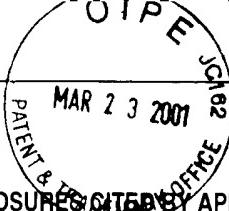
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Applicant

Presta, L.

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15 Nov 2000

Group

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